

Sustainability and Corporate Citizenship of ThyssenKrupp in the U. S.

ThyssenKrupp is committed to sustainable development. Integral part is being a responsible employer, protecting the environment and community involvement. ThyssenKrupp and its predecessor companies have a long and successful tradition of corporate citizenship. We actively contribute to solving social problems and foster a positive development of the regions in which we operate. All around the world and, of course, also in the United States, we have numerous activities that underlie our commitment as Corporate Citizen.

ThyssenKrupp in the United States

ThyssenKrupp boasts a strong and lasting presence in North America, particularly in the United States. ThyssenKrupp sales in the United States are approximately \$6.7 billion annually. Through its predecessor companies, ThyssenKrupp has been part of U.S. historical landscape for more than 170 years. Thyssen and Krupp and its related companies pioneered significant inroads in the automotive, shipbuilding, machine tool, railway, elevator, materials processing and foundry industries. In 1837, Alfred Krupp, founder of predecessor company Krupp, based in Essen, Germany, sent his company's prototypes or "makes" for coin minting machines to the U.S. Twelve years later, in 1849, Krupp delivered the "National Coin" to the Philadelphia Mint at a price of \$1,011.06. The first use of Krupp steel occurred in 1848 when the Pennsylvania Railway Company tested two Krupp-made rail axles. In 1929 Krupp's Nirosta® stainless steel sheet panels were used in the construction of the Chrysler Building.

In December 2010 we celebrate the official opening of our \$5 billion, state-of-the-art steel and stainless processing facilities in Calvert, Alabama. The plant, with a footprint of 3,700 acres, serves a wide range of industries including automotive and construction.

Responsibility as an employer

ThyssenKrupp has more than 275 locations across the United States and employs 17.000 in 40 states. Our investment in the new plant in Calvert, Alabama creates 2.700 new jobs directly, and tens of thousands of indirect jobs in the region. Both the exteriors and interiors of our facilities are

designed with a color system unique to ThyssenKrupp providing a more visibly pleasing facility to our local community and more pleasant work environment for our team. In the course of our recruiting process for the plant, we have received over 60,000 applications and many indications from applicants that we are seen as a responsible employer. Whenever possible, we recruit and hire locally. We work to ensure that our workforce is diverse and is representative of the communities we work in.

We also provide good compensation and health care and pension (401k) benefits. The talent, creativity and commitment of our employees are crucial to our business success. That is why apprentice training and ongoing education of our skilled staff and executives are among our top priorities. Our ThyssenKrupp Elevator employees, for example, received 26.4 hours of training per person via the Specialized Education for Executive Development (SEED) Campuses. A new training center has been integral part of our new steel and stainless steel operations in Calvert, Alabama, from the beginning.

Providing good health care is essential. Rotek Inc., based in Aurora/Ohio, for example, offers a full benefit package including flexible spending account, dental, medical and paid holidays. Our U.S. Group companies also offer wellness programs, and promote a healthy work-life balance. The ThyssenKrupp Elevator Corporation Employee Assistance Program helps employees and their families contact qualified consultants 24 hours a day, 365 days a year. We take pride in the fact that our companies are recognized for their efforts. ThyssenKrupp Bilstein of Hamilton/Indiana was rated among the Top Work Places 2010.

We are also committed to ensuring a safe and healthy work environment and promoting a safety culture. Our health & safety department at ThyssenKrupp USA headquarters promotes the development of health and safety through better coordination and knowledge transfer between our Group companies. Several of our Group companies have already installed health and safety management systems - such as ThyssenKrupp Presta and ThyssenKrupp Waupaca according to the

international OHSAS 18001 specification. At ThyssenKrupp Elevator all employees are represented in formal joint management-worker health and safety committees. Every branch has its own committee, headed by the respective branch manager. In addition, ThyssenKrupp Elevator is a member of National Elevator Industry, Inc. (NEII), which meets every four months to discuss safety topics on a wide range of issues.

Environmental responsibility

We use clean and resource-saving production processes and develop products for our customers that protect the climate and the environment. Some examples:

- Our new facilities in Calvert (Alabama) for example outperform the challenging American and European environmental standards by using state-of-the-art technologies. Special afterburners within the heating aggregates are setting new benchmarks for hot rolling mills by reducing NOx emissions significantly. Dust and VOC (volatile organic compounds) emissions as well as waste water of the plant will be significantly lower.
- ThyssenKrupp Bilstein Hamilton was recognized by the Cincinnati Regional Chamber of Commerce for its Green Business Initiative.
- ThyssenKrupp Waupaca was awarded with the Wisconsin Governor's Award for excellence in environmental performance and with the Environmental Stewardship Award from Energy Management Systems Inc. (EMS). Recent environmental action programs have led to reduced carbon dioxide emissions of 4,984 tons a year and a 95 percent reduction of energy consumption for heating.
- Another successful energy saving program at ThyssenKrupp Crankshaft helped to reduce the energy consumption of the sites in Illinois and Indiana by 1.3 million kWh which is equivalent to 1.000 tons of CO₂.
- ThyssenKrupp Elevator's Middleton, Tennessee manufacturing facility will finish all of its elevator frames, doors, cab walls and cab tops with powder coat. The new, environmentally preferable powder coat system eliminates the use of oil-based paint and solvents and generates a 45% reduction in energy consumption. It also significantly reduces hazardous waste and VOC levels at the Middleton, TN facility.

- ThyssenKrupp Elevator also promotes LEED certification of buildings. They are member of the U.S. Green Building Council, encouraging and fostering membership in local USGBC chapters and actively exhibit at the GreenBuild fair.

Community Involvement

We are committed to being an important part of the community and a good neighbor. This commitment is rooted in the principles of sustainable development. Wherever we have business activities, we support non-profit institutions, projects and initiatives.

Our Group companies sponsor local cultural, social or educational institutions and projects with significant donations: ThyssenKrupp Elevator supports the From Blue to Green® project, ThyssenKrupp Waupaca assists the Fox Valley Technical College, ThyssenKrupp Robins supports the Denver Art Museum, ThyssenKrupp Materials the Detroit Institute of Arts. Sometimes we also help in uncommon ways like when ThyssenKrupp Bilstein played a key role in bringing a section of the Berlin Wall to Cincinnati's Freedom Center.

We strongly support volunteering of our employees. Our 2005 "Convoy of Compassion", a joint effort of ThyssenKrupp USA and ThyssenKrupp Materials NA, delivered water, food and other basic goods to cities and towns severely impacted by Hurricane Katrina. ThyssenKrupp Elevator employees are able to contribute to the Elevator Escalator Safety Foundation (EESF) through simple payroll deduction. All over the U.S. employees provide help within their communities: In Frisco, for example, ThyssenKrupp Elevator employees collected money for the Salvation Army for Thanksgiving and Christmas meals. In Hamilton, employees of ThyssenKrupp Bilstein contribute each year to "Adopt a Family for Christmas". Communities are also supported by projects with schools or local authorities. E. g. ThyssenKrupp Elevator helps train the local fire department on elevator safety and provides scholarships to students attending the University of Memphis, the Mississippi State University and the Northeast Mississippi Community College.

All across the country we are working for a sustainable business and a better future – for our customers, for our 17.000 employees and for our communities.